Annexure C

Format for Investor Complaints Data to be displayed by Depository Participants on their respective websites

Data for every month ending – December 2023

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| SN | Receivedfrom | Carried forward from previous month | Receivedduring the month | Total Pending | Resolved\* | Pending at the end of the month\*\* | Average Resolution time^(in days) |
|  |  |  |  |  |  | Pending for less than 3 months | Pending for more than 3months |  |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 1 | Directly fromInvestors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI(SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Depositories | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | OtherSources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| SN | Month | Carried forwardfrom previous month | Received | Resolved\* | Pending\*\* |
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | April 2022 | 0 | 0 | 0 | 0 |
| 2 | May 2022 | 0 | 0 | 0 | 0 |
| 3 | June 2022 | 0 | 0 | 0 | 0 |
| 4 | July 2022 | 0 | 0 | 0 | 0 |
| 5 | August 2022 | 0 | 0 | 0 | 0 |
| 6 | September 2022 | 0 | 0 | 0 | 0 |
| 7 | October 2022 | 0 | 0 | 0 | 0 |
| 8 | November 2022 | 0 | 0 | 0 | 0 |
| 9 | December 2022 | 0 | 0 | 0 | 0 |
| 10 | January 2023 | 0 | 0 | 0 | 0 |
| 11 | February 2023 | 0 | 0 | 0 | 0 |
| 12 | March 2023 | 0 | 0 | 0 | 0 |
| 13 | April 2023 | 0 | 0 | 0 | 0 |
| 14 | May 2023 | 0 | 0 | 0 | 0 |
| 15 | June 2023 | 0 | 0 | 0 | 0 |
| 16 | July 2023 | 0 | 0 | 0 | 0 |
| 17 | August 2023 | 0 | 0 | 0 | 0 |
| 18 | September 2023 | 0 | 0 | 0 | 0 |
| 19 | October 2023 | 0 | 0 | 0 | 0 |
| 20 | November 2023 | 0 | 0 | 0 | 0 |
| 21 | December 2023 | 0 | 0 | 0 | 0 |
| 22 | January 2024 | 0 | 0 | 0 | 0 |
| 23 | February 2024 | 0 | 0 | 0 | 0 |
| 24 | March 2024 | 0 | 0 | 0 | 0 |
| 25 | April 2024 | 0 | 0 | 0 | 0 |
| 26 | May 2024 | 0 | 0 | 0 | 0 |
| 27 | June 2024 | 0 | 0 | 0 | 0 |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  | **Grand Total** | 0 | 0 | 0 | 0 |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| SN | Year | Carried forwardfrom previous year | Receivedduring the year | Resolvedduring the year | Pending atthe end of the year |
| 1 | 2017-18 | 0 | 0 | 0 | 0 |
| 2 | 2018-19 | 0 | 0 | 0 | 0 |
| 3 | 2019-20 | 0 | 0 | 0 | 0 |
| 4 | 2020-21 | 0 | 0 | 0 | 0 |
| 5 | 2021-22 | 0 | 0 | 0 | 0 |
| 6 | 2022-23 | 0 | 0 | 0 | 0 |
|  7 | 2023-24 | 0 | 0 | 0 | 0 |
| 8 | 2024-25 | 0 | 0 | 0 | 0 |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  | Grand Total | 0 | 0 | 0 | 0 |